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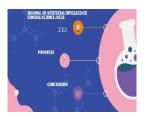


Image Processing and Optimization Using Deep Learning-Based Generative Adversarial Networks (GANs) Yang Zhang^{1*}, Hangyu Xie², Shikai Zhuang³, Xiaoan Zhan⁴

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ABSTRACT

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Received: 01.05.2024 Accepted: 10.05.2024 Online: 11.06.2024 Keyword: Generative adversarial networks (GANs); Image processing; Image optimization; Deep learning This paper introduces the application of generative adversarial networks (GANs) in image processing and optimization. GANs model can generate realistic images by cotraining generator and discriminator, and achieve remarkable results in image restoration tasks. CATGAN and DCGAN are two commonly used GAN models applied to image classification and image restoration respectively. In addition, the global and local image patching methods can effectively fill the missing areas in the image and show good results in the restoration of large images. In conclusion, the image processing and optimization method based on GANs has shown great potential in practice and provides beneficial insight for future research and application in the field of image processing.

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1. INTRODUCTION

Generative Adversarial Networks (GANs) have been extensively studied over the past few years, making significant advances in fields such as image generation, image transformation, and super-resolution. A large body of related work and reviews on GANs has been presented, highlighting their versatile applications in various domains, including computer vision, natural language processing, time-series synthesis, and semantic segmentation. GANs belong to the family of generative models in machine learning. Compared to other generative models, such as variational autoencoders, [1-3]GANs can efficiently generate the required samples, eliminate deterministic bias, and are highly compatible with internal neural network structures. These characteristics have contributed to the great success of GANs in the field of computer vision.

Despite the considerable success of GANs to date, there are still many challenges in applying them to real-world scenarios. The main difficulties include generating high-quality images, ensuring the diversity of generated images, and addressing training instability. To improve upon these issues, GANs can be modified from both an architectural perspective and a loss function perspective.

The figure below shows a representative classification of GANs proposed from 2014 to 2020. We divide current GANs into two categories: architecture-variant and loss-variant. Among the architectural variants, we classify them into three categories: network architecture, latent space, and application-focused. The network architecture category represents improvements or modifications of the entire GAN structure, such as Progressive GAN, which uses a training method of progressive enlargement. Latent space classes represent structural modifications based on different representations of latent spaces, such as Conditional GAN, which involves providing label information to generators and discriminators. [4][5]The last type, application-focused research, refers to modifications according to different applications, such as CycleGAN, which has a specific structure for image style conversion.

For loss variants, we divide them into two categories: loss types and regularization. The loss type refers to different loss functions that can be optimized for GANs, while regularization involves additional penalties designed in the loss function or normalization operation.

This paper analyzes the image processing and optimization applications of generative adversarial networks based on deep learning.

2. RELATED WORK

2.1 Traditional deep learning

Deep learning is an important branch of the field of artificial intelligence, which has made remarkable progress in image recognition, speech recognition, natural language processing, etc. In 1943, Warren

[6]McCulloch and Walter Pitts published "A logical calculus of the ideas immanent in nervous activity". The neural network and the mathematical model, called the MCP model, are established. It lays the foundation for the neural network and mathematical model.

In 1958, the computer scientist Frank Rosenblatt proposed a neural network with two layers of neurons, called perceptrons, which used the MCP[7][8][9] model to binary classify multidimensional input data and was able to automatically learn updated weights from training samples using gradient descent.

In 1969, in their book Perceptrons, Marvin Minsky and Seymour Papert pointed out that perceptrons were essentially linear models that could only handle linear classification problems and could not correctly classify even the simplest XOR problems.

In deep learning, these hierarchical representations are learned by a model called a neural network. A deep neural network can be thought of as a multi-stage information distillation process: information passes through a continuous filter with increasing purity.

How deep learning works

a. Assign random values to the weights of the neural network (sometimes called the parameters of that layer).

b. After a series of random transformations, the predicted value Y' is obtained.

c. The loss value between the predicted value Y' and the true value Y is obtained by the loss function (sometimes called the objective function or the cost function).

d. Use the loss value as a feedback signal to fine-tune the weight value through the optimizer to reduce the loss value according to the current example.

e. Repeat the cycle (b-d) enough times to obtain a neural network with a minimum loss value, which is a trained neural network.

Therefore, with the breakthrough of deep learning in the field of image recognition (represented by the high-precision AlexNet image recognition network proposed by the father of [10-12]AI, Geoffry Hinton, in 2012), a wave of deep learning research based on neural networks has been unleashed. So far, image processing has become an important research area in deep learning, and almost all deep learning frameworks support image processing tools.

The current application of deep learning in image processing can be divided into three aspects: image processing (basic image transformation), image recognition (image feature extraction with neural network as the mainstream), and image generation (represented by nassdeural style transfer). The first part of this paper introduces the common techniques of image processing in deep learning, the second part analyses the mainstream application of image processing in deep learning, and finally gives a summary of the contents of this paper.

2.2 Common techniques for image processing in deep learning

1. Data Enrichment

The three factors that limit the development of deep learning are algorithm, computing power, and data, where the performance of the algorithm is determined by the design method, and the key to the

supply of computing power is the performance of the hardware processor. If the algorithm and computing power are equal, the amount of data directly determines the final performance of the model[13]. In image recognition, the output curve is often overfitted due to an insufficient number of original images, making it impossible to train a model that can generalize to a new set of images. Data enhancement generates more training images based on currently known image datasets by increasing the number of original images using a variety of random transformations capable of generating trustworthy images.

2. Image Recognition

Image recognition is an important task in the field of computer vision [14](CV), which aims to identify and classify objects in images by computer. With the development of deep learning techniques, especially the advent of convolutional neural networks (CNN)[15], image recognition has made remarkable progress. By constructing a neural network model suitable for image recognition, the deep learning method can achieve accurate recognition of the target in the image while reducing the need for computational resources.

In deep learning, commonly used image recognition methods include convolutional neural network (CNN), recurrent neural network (RNN)[16], and transfer learning. Among them, CNN is one of the most commonly used methods, which gradually extracts the features in the image by applying a series of convolution kernels and pooling operations to the image, and classifies and recognizes the features through the fully connected layer. This end-to-end training method makes CNN perform well in image recognition tasks, and it is widely used in face recognition, object detection, image segmentation, and other fields.

The application range of image recognition is very wide, covering all aspects of human daily life. In the field of intelligent security, image recognition technology can be used to monitor people and objects in cameras to achieve abnormal behavior detection and security early warning. [17]In the field of medical health, image recognition technology can help doctors diagnose diseases in medical images (such as X-rays, and MRI images) and improve diagnostic accuracy and efficiency. In the field of autonomous driving, image recognition technology is the key to realizing the vehicle's perception of the environment, and can identify traffic participants such as roads, vehicles, and pedestrians, and realize intelligent driving decisions.

In general, image recognition technology has made great progress under the impetus of deep learning, and has become one of the most important development areas[18].

2.3 Generate adversarial network processing

GANs train neural networks in new ways. GANs are not one network, but two separate networks, working independently and against each other (as shown in the diagram below).

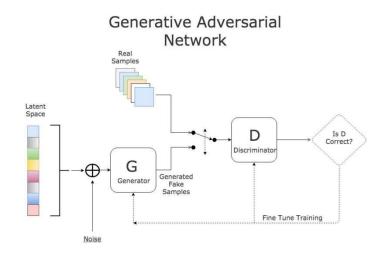


Figure 1. Generating Adversarial Network (GAN) architecture

The first neural network, called the Discriminator (D), needs to be trained. D is a classifier, once the training is complete, a large number of operations need to be carried out during the operation. The second network becomes the Generator (G), which is used to generate random samples that resemble real samples, with surface distortions to represent fake samples.

For example, design an image discriminator D to recognize a range of different animals. An adversarial generator, G, carefully produces images that look like the real thing to confuse D[19]. This can be achieved by randomly selecting a legitimate sample from the training set (latent space), randomly modifying some features (by adding some noise), and synthesizing a new image. For example, generator G can take some images of cats and add an eye to the image to make it a fake sample. The result is an image very similar to a normal cat except for the number of eyes.

In the training process, discriminator D randomly mixes legitimate images from the training set, and generator G generates false images. Its job is to identify correct and false input. Based on the calculation results, both machines are parametrically tuned to become better. [20]If D makes a correct prediction, G updates its parameters to generate a better fake sample to confuse D. If D's prediction is wrong, it learns from the mistake and avoids similar mistakes in the future. The score of network D is the number of correct predictions, and the score of G is the number of errors of D. This process continues until a balance is reached and D's training is optimized.

Although deep learning and generative adversarial networks [21](GANs) have made significant progress in the field of image processing and optimization, there are still some challenges and limitations. The current architecture and training methods of GANs still have some limitations in generating high-quality images, such as insufficient diversity of generated images and instability of the training process. In addition, the existing evaluation indicators and methods may not be able to fully evaluate the quality and diversity of the generated images. Therefore, future research directions include improving the architecture and training methods of GANs, developing more accurate and comprehensive evaluation indicators, and exploring the application of GANs in a wider range of fields, such as medical image processing, natural image synthesis, etc. Through these efforts, we can further promote the development of generative adversarial network technology in the field of image processing and optimization, and provide more efficient solutions to achieve higher quality and more diverse image generation.

3. METHODOLOGY

Deep learning goes some way towards solving the image super-resolution problem of recovering highresolution images from low-resolution images. Current methods based on generative adversarial networks (GAN) can learn low/high-resolution image mapping relationships from super-resolution data sets to generate super-resolution images with true texture detail. However, traditional image restoration methods are often based on interpolation, texture synthesis, and other technologies, but these methods are often unable to produce true and detailed images. In recent years, generative adversarial networks (GANs)[22], as a new deep learning method, can generate realistic images by learning the distribution of real images to achieve better image restoration results. It has been widely used in image restoration and has achieved remarkable results.

3.1 GANs model

Generative adversarial networks (Gans) are a type of unsupervised learning proposed by Ian Goodfellow et al in 2014. The main feature of Gans is that they are trained by having two neural networks compete against each other, with one network generating data and the other evaluating the generated data, prompting the generating network to generate more realistic data. It has achieved remarkable success in the fields of image generation, style transfer, super-resolution, and generative art[23-25].

- Generator: The goal of a generator is to generate data that is similar to real data. It takes random noise as input and is trained to generate data that can fool the discriminator. The output of a generator is often interpreted as fake data.
- Discriminator: The goal of the discriminator is to distinguish between fake data generated by the generator and real data. It takes in inputs from generators and real data and tries to classify them correctly. [26]The output of a discriminator is usually interpreted as the probability that the input is real data.

Generators and discriminators constantly adjust parameters through feedback loops to improve their performance. As the generator generates more realistic data, the discriminator needs to become more perceptive, and vice versa. Eventually, the data generated by the generator becomes realistic enough that the discriminator cannot easily distinguish between true and false data.

$$Loss_{GAN}(G, D_x) = \underbrace{E[log(D_x(x))]}_{\text{Discriminator loss}} + \underbrace{E[log(1 - D_x(G(x)))]}_{\text{Generator loss}}$$

Image restoration based on GAN is essentially the use of GAN's powerful generating ability, which can recover the intact image from the damaged image. [27]According to the different repair principles can be divided into

• Image generation-based image repair: by using conditions to guide the generated results, or by exploring and adjusting the hidden vector of the image in the underlying coding space to

manipulate the repair results, the defective part of the image is generated from scratch, mainly used in image completion, but also can be applied to image deblurring and image denoising.

 Image restoration based on image translation: [28] By training the end-to-end network model, the image is directly processed, and certain attributes of the image are changed under the premise of preserving the image content. It is not generated from scratch, but the transformation of certain properties of the complete image, which is mainly applied to image de-blurring and de-noising.

3.2 Relevant Methods for Applying Adversarial Networks to Image Restoration

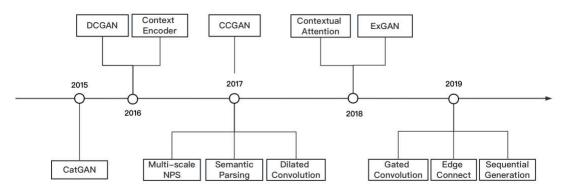


Figure 2: GANs in image optimization process

(1)CatGAN

Category generation adversarial networks [29](CATGans) focus on learning robust discriminative classifiers from unlabeled or partially labeled data. The core idea of this method is to balance the mutual information and the robustness of the adversarial generation model through the training of the adversarial generation model, to improve the resistance of the classifier to uncertainty and noise. CatGAN can be seen as a generalization of GAN and an extension of the regularized Information Maximization [30](RIM) framework. Through empirical evaluation, CatGAN demonstrated the robustness of the classifier it had learned in both synthetic data and challenging image classification tasks. In addition, CatGAN not only learned a discriminative classifier but also trained an adversarial generator to generate samples with specific distribution characteristics.

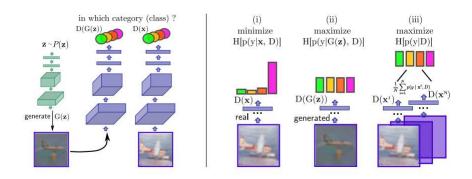


Figure 3.CatGAN image processing and optimization principle

The method learns a discriminant network classifier D, which maximizes the mutual information between input x and label y for K unknown classes by making conditional distribution predictions[31]. To help these classifiers generalize better to unknown data, the robustness of the classifier is imposed on

samples generated by adversarial generative models that try to trick the classifier into accepting forged input samples.

Discriminator:

1) For real data, D should have a definite label prediction;

2) For the generated data, the prediction should be uncertain and the information entropy should be large;

3) Estimates for all categories should be equally probable.

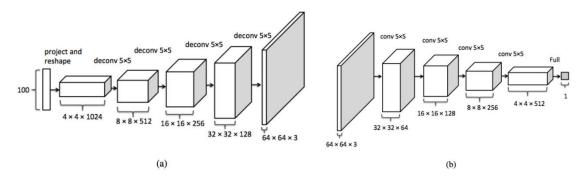
Generator:

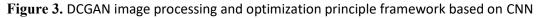
1) The generated data should be clearly labeled;

2) Data is generated with equal probability across all categories.

(2) DCGAN

Based on deep Convolutional generative adversarial Network (DCGAN)[32], the convolutional neural network structure is introduced, and the following improvements are made: 1) The convolutional layer with a specified step length is used instead of the pooling layer; 2) Batch normalization (BN) is used in both generator and discriminator; 3) Remove the fully connected layer; 4) The generator uses ReLU as the activation function except that the output layer uses Tanh; 5) All layers of the discriminator use LeakyReLU as the activation function.





This method defines a loss function with two parts:

1) Context loss, which is used to preserve the similarity between the input damaged image and the repaired image;

2) Perceptual loss to ensure that the output image is realistic and realistic in perception. Given a corrupted image with a missing value, backpropagation using this loss maps the corrupted image to a smaller potential space. The mapping vector is then passed through a generative model that is used to predict what is missing.

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The framework was evaluated on CelebA[33] and SVHN[34] datasets for two challenging repair tasks involving random 80% damage and large block damage. Experiments show that the proposed method can successfully predict the semantic information of missing regions and achieve pixel-level fidelity, which is impossible for almost all existing methods.

3.3 Global and local image patching

The method can complete an image of arbitrary resolution by filling in missing areas of any shape. Train using global and local context discriminators to distinguish between real images and completed images. The global discriminator looks at the entire image to assess whether it is consistent overall, while the local discriminator only looks at a small area centered on the completion area to ensure that the generated patch remains consistent locally. The overall network structure consists of three networks: patching network, global context discriminator, and local context discriminator. The discriminator network is only used to train the patch network and is not used during testing.

The repair network is composed of the full convolutional neural network [35](FCN). The input is the image to be repaired and the binary mask, and the output is the repaired image. This method uses Dilated Convolution instead of the full connection layer of channels, which can be applied to the restoration of images with arbitrary resolution. Under the condition that the parameters remain unchanged, the receptive field is increased.

The discriminator is divided into two parts, a global discriminator and a local discriminator. The global discriminator takes the complete image as input to identify the global consistency of the scene. The local discriminator recognizes local consistency by observing only over an area of the original image size centered on the filled area. By using two different discriminators, the final network can not only make the global observation consistent, but also optimize its details, and ultimately produce a better image-filling effect.

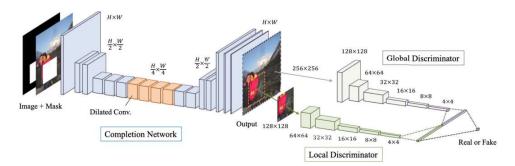


Figure 4. The architecture of global and local image patching principles

To solve the difficulty of generating large realistic image patches, a condition of using both a highresolution image with a missing patch and a low-resolution version of the entire image on the generator is proposed. In this setting, the generator's task becomes to super-resolution a portion of the image. However, the discriminator does not receive low-resolution images, so it is still faced with the problem of determining whether a given repaired image is feasible.

Based on the above, this paper introduces the application of deep learning-based generative adversarial networks (GANs) in image processing and optimization. [36]The GANs model can generate realistic images by co-training the generator and discriminator and has achieved remarkable results in image restoration tasks. CATGAN and DCGAN are two commonly used GAN models applied to image

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classification and image restoration respectively. CATGAN improves the robustness of the model by learning robust discriminant classifiers, while DCGAN improves the effect of image restoration by introducing a deep convolutional neural network structure and improving the network architecture. In addition, the global and local image patching methods can effectively fill the missing areas in the image and show good results in restoring large images. In conclusion, the image processing and optimization method based on GANs has shown great potential in practice and provides beneficial insight for future research and application in the field of image processing.

4. Conclusion

Through an in-depth analysis of the application of generative adversarial networks (GANs) in image processing and optimization, this paper summarises the main features and advantages of current methods. The introduction of CATGAN and DCGAN models provides effective solutions for image classification and image recovery tasks, demonstrating the wide applicability of GANs in image processing. In addition, the global and local image patching methods fill in the missing areas of the image, show a good effect, and provide a new idea for image repair. These results show that the image processing and optimization methods based on GANs have great potential in solving practical problems, and provide a useful reference for future research and application.

In the future, with the advancement of technology and further research on GANs, we can expect more innovative methods and techniques to emerge. For example, the combination of deep learning and traditional image processing techniques may lead to more efficient and accurate image repair algorithms. In addition, the application of GANs to other fields, such as medical image processing, natural image synthesis, etc., is also one of the main directions of future research. Through continuous research and innovation, we can further expand the application of GANs in image processing and optimization, and provide more effective solutions to practical problems.

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